



PATENT 1635

Case Docket No. GTSYS.004A

Date: October 7, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Felgner, et al.
Appl. No. : 09/738,046
Filed : December 15, 2000
For : INTRACELLULAR PROTEIN
DELIVERY COMPOSITIONS
AND METHODS OF USE
Examiner : Brian A. Whiteman
Group Art Unit : 1635

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 7, 2003

(Date)

Marc T. Morley, Reg. No. 52,051

TRANSMITTAL LETTER

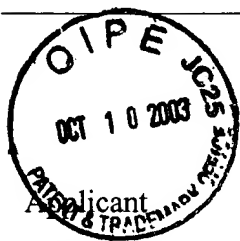
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement containing a Certification Under 37 C.F.R. § 1.97(e)(1).
- (X) A PTO Form 1449 listing four (4) references; three (3) of which are enclosed. These documents include an International Search Report and cited documents.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Marc T. Morley
Registration No. 52,051
Attorney of Record
Customer No. 20,995
(619) 235-8550

**INFORMATION DISCLOSURE STATEMENT**

Applicant : Felgner, et al.
App. No. : 09/738,046
Filed : December 15, 2000
For : INTRACELLULAR PROTEIN DELIVERY
COMPOSITIONS AND METHODS OF
USE
Examiner : Brian A. Whiteman
Group Art Unit : 1635

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

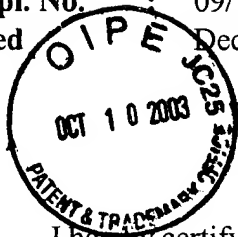
Enclosed is form PTO-1449 listing four (4) references, three (3) of which are enclosed and marked with an asterisk (*). It should be noted that U.S. Patent No. 5,459,127, while cited in the accompanying International Search Report, is not submitted herewith as it was previously submitted to the Examiner in an Information Disclosure Statement filed April 16, 2001.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Appl. No. : 09/738,046
Filed December 15, 2000

Docket No. GTSYS.004A

Customer No. 20,995



CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/7/03

By: M. T. Morley

Marc T. Morley

Registration No. 52,051

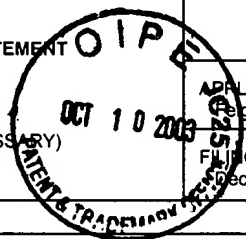
Attorney of Record

Customer No. 20,995

(619) 235-8550

S:\DOCS\MTM\MTM-5165.DOC:dmr
100703

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GTSYS.004A	APPLICATION NO. 09/738,046
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Felgner, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE December 15, 2000	GROUP 1635



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
		5,459,127	10/1995	Felgner, et al.			
	1	6,075,012	06/2000	Gebeyehu, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	2	International Search Report re: PCT/US03/13873; Date of mailing of ISR: September 10, 2003.
	3	Walker, et al., "Cationic lipids direct a viral glycoprotein into the class I major histocompatibility complex antigen-presentation pathway", Proc. Natl. Acad. Sci. USA, September 1992, Vol. 89, pp. 7915-7918.
	4	Ljungstrom, et al., "Cellular uptake of adamantyl conjugated peptide nucleic acids", Bioconjugate Chem. 1999, Vol. 10, pp. 965-972.

S:\DOCS\MTM\MTM-5164.DOC:dmr
100703

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	